

FORM PTO-1390 DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 11-2000)		ATTORNEY'S DOCKET NO. 970054. C
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
		10/088,011
INTERNATIONAL APPLICATION NO. PCT/EP00/08745	INTERNATIONAL FILING DATE 7 September 2000 (07.09.00)	PRIORITY DATE CLAIMED 13 September 1999 (13.09.99)
TITLE OF INVENTION METHOD OF REACTIVE POWER REGULATION AND APPARATUS FOR PRODUCING ELECTRICAL ENERGY IN AN ELECTRICAL NETWORK		
APPLICANT(S) FOR DO/EO/US WOBBEN, Aloys		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto with respect to the drawings (4 sheets of drawings, Figs. 1-10) b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4) 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items of information: Election and Power of Attorney or Authorization of Agent 		

U.S. APPLICATION NO. (If known, see 37 CFR 1.492(a)(1)-(5)) 10/088,011		INTERNATIONAL APPLICATION NO. PCT/EP00/08745		ATTORNEY'S DOCKET NUMBER 970054.413USPC																																																																			
<div>21. <input type="checkbox"/> The following fees are submitted:</div> <div>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</div> <div>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00</div> <div>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00</div> <div>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00</div> <div>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$690.00</div> <div>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00</div> <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				<div>CALCULATIONS <small>PTO USE ONLY</small></div> <div style="border: 1px solid black; height: 150px; margin-top: 5px;"></div>																																																																			
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				Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).																																																																			
				Fee was paid upon First Submission																																																																			
				<table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th style="width:20%;">Claims</th><th style="width:20%;">Number Filed</th><th style="width:20%;">Number Extra</th><th style="width:20%;">Rate</th><th style="width:20%;"></th></tr></thead><tbody><tr><td>Total Claims</td><td>- 20 =</td><td></td><td>x \$ 18.00</td><td style="text-align: right;">\$0.00</td></tr><tr><td>Independent Claims</td><td>- 3 =</td><td></td><td>x \$ 80.00</td><td style="text-align: right;">\$0.00</td></tr><tr><td colspan="4">Multiple dependent claim(s) (if applicable)</td><td style="text-align: right;">+ \$270.00</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td><td style="text-align: right;">\$0.00</td></tr><tr><td colspan="4"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td><td style="text-align: right;">\$0.00</td></tr><tr><td colspan="4" style="text-align: right;">SUBTOTAL =</td><td style="text-align: right;">\$0.00</td></tr><tr><td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td><td style="text-align: right;">\$130.00</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td><td style="text-align: right;">\$0.00</td></tr><tr><td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td><td style="text-align: right;">\$0.00</td></tr><tr><td colspan="4">Fee for extension of time to respond to Notification of Missing Requirements (37 CFR 1.136(a)). Form PCT/SB/22 included.</td><td style="text-align: right;">\$0.00</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td><td style="text-align: right;">\$130.00</td></tr><tr><td colspan="4" style="text-align: right;">130.00 OP</td><td style="text-align: right;">Amount to be refunded:</td></tr><tr><td colspan="4"></td><td style="text-align: right;">charged</td></tr></tbody></table>		Claims	Number Filed	Number Extra	Rate		Total Claims	- 20 =		x \$ 18.00	\$0.00	Independent Claims	- 3 =		x \$ 80.00	\$0.00	Multiple dependent claim(s) (if applicable)				+ \$270.00	TOTAL OF ABOVE CALCULATIONS =				\$0.00	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00	SUBTOTAL =				\$0.00	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$130.00	TOTAL NATIONAL FEE =				\$0.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$0.00	Fee for extension of time to respond to Notification of Missing Requirements (37 CFR 1.136(a)). Form PCT/SB/22 included.				\$0.00	TOTAL FEES ENCLOSED =				\$130.00	130.00 OP				Amount to be refunded:	
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b. <input type="checkbox"/> Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.																																																																							
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1090 . A duplicate copy of this sheet is enclosed.																																																																							
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																																																							
<div>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</div> <div style="margin-top: 20px;">SEND ALL CORRESPONDENCE TO:</div> <div>David V. Carlson, Esq. Seed Intellectual Property Law Group PLLC 701 5th Avenue, Suite 6300 Seattle, WA 98104-7092 United States of America (206) 622-4900</div>																																																																							
<div style="border: 1px solid black; padding: 5px; margin-top: 20px;"><div style="text-align: center;"> SIGNATURE</div><div style="margin-top: 10px;">David V. Carlson NAME</div><div style="margin-top: 10px;">31153 REGISTRATION NUMBER</div></div>																																																																							

Express Mail No.: EV064844870US



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/088,011	Aloys Wobben	970054.413USPC

David V Carlson
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RECEIVED
 JUN 17 2002
 SEED INTELLECTUAL PROPERTY
 LAW GROUP PLLC

INTERNATIONAL APPLICATION NO.	
PCT/EP00/08745	
I.A. FILING DATE	PRIORITY DATE
09/07/2000	09/13/1999

CONFIRMATION NO. 4928

371 FORMALITIES LETTER



OC000000008250639

Date Mailed: 06/10/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination
- Substitute Specification

Missports
 Aug 10, 2002

ENTERED IN DOCKET

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. The current translation of the application into English is defective as described below.
 - The text in the drawings has not been properly translated.
- Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 30 months from the priority date (37 CFR 1.492(f)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** for English translation surcharge required.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/088,011	PCT/EP00/08745	970054.413USPC

FORM PCT/DO/EO/905 (371 Formalities Notice)